

REPORT OF DEBENTURE TRUSTEES FOR THE HALF YEAR ENDED 31st MARCH, 2021.

NAME: IDBI Trusteeship Services Limited

REGISTRATION NUMBER:

DATE OF REGISTRATION (IN DD/MM/YY):

IND000000460

Renewal Certificate dated 14th February, 2017

SECTION I: ACTIVITIES

Activities other than debenture trusteeship as on 31st March, 2021.

Activity Type	Description of Activity	No. of clients
Alternative Investment Fund	Alternative Investment Fund Trusteeship	46
Commercial Paper Trustee	Commercial Paper Trusteeship	11
Escrow Agent	Escrow Agent Trusteeship	77
Facility Agent	Facility Agent Trusteeship	59
Infrastructure Investment Fund	InvIT Trusteeship	6
Monitoring	Monitoring the value of shares	13
NDU Agent	NDU Agentship	31
Power of Attorney	Holding POA	1
Private Trust	Holding Private trustee	33
Public Deposit Trustee	Public Deposit trusteeship	14
Safe Keeping	Safe keeping of documents	135
Securitization	Securitization trusteeship	96
Security Agent	Acting as an agent on behalf of security holder	130
Security Trustee	Holding of security for the benefit lenders	1186
Settlor	Creation of trust	12
Share Pledge Trustee	Holding of shares for the benefit lenders	110
SLR Trustee	SLR Trusteeship for holding SLR securities for the benefit depositors	7
Special Purpose Vehicle	SPV Trusteeship	2
Venture Capital Fund	VCF Trusteeship	23
Peer to Peer lending	P2P Trusteeship	14
Masala Bond	Acting as Security holder for Note Trustee	12

Name of Compliance Officer: Jatin Bhat

Email ID: jatin.bhat@idbitrustee.com

Date: 12-04-2021



Name of the DT: IDBI Trusteeship Services Limited
Registration No.: IND000000460

COMPLIANCE CERTIFICATE FOR THE HALF YEAR ENDED 31st March, 2021.

A No conflict of interests with other activities

The activities other than debenture trusteeship performed by DT are not in conflict with DT activities and appropriate systems and policies have been put in place to protect the interests of debenture holders.

Yes

B Change in status or constitution

Reporting of changes in status or constitution of DT (In terms of SEBI Circular No. CIR/MIRSD/10/2011 dated June 20, 2011)

NIL

C Other Information

- (i) Details of arrest / conviction of key officials of DT
- (ii) Details of prosecution cases or criminal complaints filed by investors against the DT
- (iii) Details of any fraudulent activity by the employees associated with DT activities and action taken by the DT
- (iv) Details of conviction of any offence involving moral turpitude or any economic offence by employees of DT
- (v) Action taken by the DT on the above issues

NIL

NIL

NIL

NIL

NIL

D Compliance with registration requirements

Certified that the requirements specified for SEBI registration as DT are fulfilled, the details are as under:

- (i) Net worth (audited) as defined in the Regulations as on FY ended 31/03/2021 (as per the latest audited financials (Rs. in crores)) 249.47
- (ii) Any change in infrastructure since the last report / registration/ Renewal NIL
- (iii) Changes in Key personnel during the half year ended 31st March, 2021. NIL

Name(s) of the key personnel	Appointment Cessation	Date of Appointment cessation	Qualification	Experience	Functional areas of work



E Details of deficiencies and non compliances

NIL

F Details of deficiencies and non compliances

Date of Board Review (dd/mm/yyyy)

16-04-2021

Observation of the BoD on

NIL

i) the deficiencies and non compliances

NIL

ii) corrective measures initiated

1 Certified that we have complied with SEBI (Debenture Trustee) Regulations, 1993, applicable provisions of SEBI (Issue and Listing of Debt Securities) Regulations, 2008, Circulars issued by SEBI and any other laws applicable from time to time except the deficiencies and non compliances those specifically reported at Section IV (E) above:

2 We are complying with the provisions of the SEBI Circular No. SEBI/MRSD/MIRSD3/CIR/P/2017/72 dated the 30th June, 2017

Name of Compliance Officer: Jatin Bhat

Email ID: jatin.bhat@idbitrustee.com

Date 12-04-2021

